ADVANCING THE COMMON GOOD IN THE GREATER CAPITAL REGION

United Way of the Greater Capital Region
2012 Community Impact Report
OUR MISSION

To improve lives and advance the common good in the Capital Region by mobilizing the caring power of donors, volunteers and community partners to give, volunteer and advocate for people in need within our region.

OUR VISION

United Way of the Capital Region will be a recognized leader in the development and investment of philanthropic and volunteer resources in programs and initiatives that improve the quality of life for children, families, elders and people in need. We will accomplish this by investing resources in programs that address needs in the area of early childhood education, health, income and financial stability of low-income families and individuals, and the basic needs of our community’s most vulnerable.

OUR GUIDING PRINCIPLES

In pursuing our mission we will accomplish our goals within the framework of the following guiding principles and values:

- We will uphold the highest ethical standards in all that we do
- We will act in a socially responsible manner with all whose lives we touch
- We will exceed our donor, volunteer, and community partners’ expectations
- We will be accountable and transparent in all that we do
- We will strive to fund initiatives in our strategic community impact areas that address our community’s most important needs and seek those partners that can achieve the greatest impact and provide us with measurable and sustainable results
- We will strive for excellence in service, continuous improvement, and inclusiveness in all that we do
- We will achieve our objectives through exemplary teamwork and cooperation
UNITED WAY OF THE GREATER CAPITAL REGION
2011-2012 BOARD OF DIRECTORS

John Kearney, Chair
Community Volunteer

Dan Sauer, Chair-Elect
MVP Health Care

Charles Carletta, Vice Chair
Rensselaer Polytechnic Institute

Christy Calicchia, Vice Chair
New York Lottery

Andrew Gnoinski, Jr., Labor Liaison
IUE-CWA, Local 301

Katharine Doran, Treasurer
Teal, Becker and Chiaromonte, CPAs, P.C.

Liz Hood, Secretary
NYS Education Department

Robert Doyle, Past Board Chair
Golub Corporation / Price Chopper Supermarkets

Craig Apple
Albany County Sheriff’s Department

Dr. Quintin B. Bullock
Schenectady County Community College

Adam Lawrence
KeyBank

Onofrio Pirotta
IBM

Abby Atkins
Health Resources in Action

Rob Coan
Parisi, Coan & Saccocio

Patrick Lyons
NYSUT

Richard Stack
Albany Port Railroad Corp.

Brian Barr
Community Volunteer

Rabbi Matthew Cutler
Congregation Gates of Heaven

Michael MacLaury
Community Volunteer

John Standish
Albany International Corp.

Brian Borden
NBT Bank

Stephen D’Amico
Bechtel Marine Propulsion Corp.

Margaret Messer
Community Volunteer

Steve Strichman
City of Schenectady

Katharine Briar-Lawson, Ph.D.
State University of New York

William Flaherty
National Grid

Carole Montepare
CDPHP

Adriane Vnuk
Metlife

UNITED WAY OF THE GREATER CAPITAL REGION
STAFF MEMBERS

Brian T. Hassett
President and Chief Executive Officer

Shane Albertin
Systems Manager

Marion Frowein
Accounting Manager

Jim Mulligan
Vice President of Donor Relations

Margot Anderson
Director of Major Gifts and Planned Giving

Joe Guastella
Vice President of Federated Campaigns

John P. Roche
Director of Human Resources and Administration

Navi Bindra
Database Coordinator

Michele Hannah
Chief Operations Officer

Rachel Hye Youn Rupright
Community Impact Director

Jo Ann Buchas
Donor Relations Manager

Peg Kane
Office Coordinator

Beth Anne Smith
Community Impact Manager, Financial Stability

Amy Clinton
Manager, Public Policy and Grants Administration

Siobhán Kent
Marketing and Communications Manager

Claire Tallon
Donor Relations Manager

Laurelee Dever
Administrative Assistant

Dian Lin
Accounts Receivable Associate

Lisa Steagall-Thurman
Donor Relations Manager

Abbie Farrar
Pledge Processing Manager

Ada Martinez
Community Services Liaison

Brian Stuart
Marketing and Communications Director

Scot Felderman
Vice President of Community Impact

Tamae Memole
Community Mobilization Director

Jessica Underhill
Community Impact Manager
DEAR FRIENDS:

Most of us are fortunate enough to enjoy a good standard of living. We are also privileged to live in a region that appears to be faring well, at least according to published statistics of employment and income.

Yet all it takes is a look beneath the surface to see that many individuals and families are suffering. In fact, today there are people seeking help who never imagined they would need assistance. At the same time, local non-profit agencies are facing cuts in state and federal funding as well as the effects of an uncertain economy, which includes shrinking donations.

That is why it’s more important than ever for Capital Region residents to LIVE UNITED and provide help for those who need it most. United Way is the place where our community comes together to tackle the tough issues that required a collective response. It’s about pooling individual contributions to magnify the impact of each person’s caring.

United Way directs donations and other resources where they accomplish the most good. We focus on advancing education, income, health and people’s ability to meet their basic needs. These are the building blocks everyone requires for a good quality of life.

Unfortunately, too many local children start life with incredible obstacles to success. The Capital Region’s working poor are struggling to lift themselves from poverty. Many senior citizens are isolated and lonely. And a growing number of families are finding it hard to keep food on the table and a roof over their heads.

We can ignore these issues, we can try to find blame, or we can be part of the solution. United Way chooses to focus on what’s possible. That is why this year United Way:

- Launched our Bright Starts for Brilliant Futures initiative to help a high-risk generation of young children succeed in school and in life.
- Helped return more than $17 million in tax credits and savings to the working poor — and to the local economy — through the CA$H Coalition.
- Put new emphasis on helping people meet their basic needs for food, shelter and safety.
- Continued to mobilize volunteers and commit funds to help our hardest hit communities recover from last year’s floods.

What links each of these activities is their alignment with United Way’s strategy to advance the common good. We know that when one person succeeds, everybody wins.

We encourage you to learn more about our work in the pages of this report. Behind each story, photo and statistic is a person whose life is filled with new hope and opportunity thanks to United Way and its partner agencies. All of this is made possible by your contributions and partnership. Thank you.
I have been a United Way Employee Campaign Manager at GE for 12 years. In my opinion, United Way is one of the most giving organizations in the community. When I contribute to United Way, I am assured that my money is being used appropriately and is targeted to reach the organizations and agencies with the greatest need.

United Way’s impact across the New York Capital Region is both broad and deep. They fund critical human services that meet people’s immediate needs for food, shelter and safety. At the same time, United Way brings partners together to tackle tough issues like poverty, drop-out rates, homelessness, domestic violence and more.

In my volunteer role as Employee Campaign Manager, my job is to engage the employees at GE in United Way’s work. I’m proud that our company has a legacy of supporting United Way. When employees join together to advance the common good and improve the community where they live and work, everyone benefits.

—Ricki Shapiro
Communications Coordinator
GE Global Research

Why I Support United Way

In October 2012, the Chronicle of Philanthropy once again named United Way the #1 charity in the United States on their “Philanthropy 400” list.

Against a backdrop of a tough economic environment, United Ways nationwide have had much success, including:

• United Way’s Current Year Support (which includes contributions from workplace donors, government grants, corporate support and donations from individuals) increased by + 0.4% in the U.S. and 3.0% worldwide.

• In the U.S., workplace donations experienced a turnaround, growing 0.3% for the first time since the start of the recession in 2008.
In some of our region’s inner-city schools, fewer than 25 percent of students read at grade level by third grade. Research shows that third-grade literacy is a tipping point for continued success in school and in life.

That’s because before third grade, children are learning to read. After third grade, they read to learn. A student who can’t read on grade level by 3rd grade is four times less likely to graduate by age 19 than a child who does read proficiently by that time. Add poverty to the mix, and a student is 13 times less likely to graduate on time than his or her proficient, wealthier peer.*

United Way understands that giving children a strong start is good for the economy and our region’s quality of life because we all benefit when a child succeeds in school and a career. Likewise, we all pay a price for failure. Changing the destiny of a high-risk generation of young people is possible, but only if we work together.

United Way has responded this year by committing $170,000 in grants to support the success of young children and their families in neighborhoods where challenges are most severe.

Our current funded partners are: The Albany Promise, Bigelow Corners Partnership in Schenectady, Community Maternity Services in Schenectady, Parsons Child and Family Center, and St. Peter’s Health Partners/Samaritan Hospital in Rensselaer County.

We are also uniting community members, non-profit partners, businesses, volunteers and others. Our philosophy is that together we accomplish more than we could as individuals or as organizations acting alone. That’s what it means to LIVE UNITED.

We are calling our new initiative Bright Starts for Brilliant Futures. Your United Way contribution helps create more bright starts for more local children. Together we can create brilliant futures for the next generation.

*Education Week: Study: Third Grade Reading Predicts Later High School Graduation by Sarah D. Sparks, April 8, 2011
LIVES CHANGED WITH UNITED WAY

A.J.* entered his pre-school classroom with severe language, cognitive and social skill delays. He rarely spoke or engaged with other children.

With the support of teaching staff at a United Way-funded child development program, A.J. made tremendous strides. Today he plays with his peers, participates in circle time conversations and sings songs. He has even learned his shapes, letters and numbers.

A.J.’s parents are thrilled with the progress their son has made. They were able to suspend A.J.’s special education services for the summer and they expect his progress to continue in the fall.

United Way Partner Agency: Cohoes Community Center
Program: Child Development

Ashley* faced a series of potentially life-shattering obstacles in her childhood. She spent time in foster care while a parent was incarcerated, and experienced homelessness during her teen years.

With support from a transitional living program at a United Way-funded agency, Ashley worked diligently to receive her high school diploma. She also purchased a car, secured an apartment and learned to balance a demanding schedule which includes full-time college studies and employment.

Ashley is pursuing an associate’s degree in criminal justice and plans to continue her studies at the University at Albany.

United Way Partner Agency: Equinox, Inc.
Program: Equinox Transitional Living Program

Ray* was reading at a fourth grade level in ninth grade when he entered a United Way-funded reading program three years ago.

Through persistence, encouragement and tutoring, Ray was able to catch up with his peers, boost his grades and graduate in 2012 with a Regents Diploma. Ray planned to attend Schenectady County Community College in the fall.

United Way Partner agency: A Different Way in Reading (Schenectady)
Program: A Different Way in Reading

*Names have been changed.
Organized Labor and United Way

United Way of the Greater Capital Region is fortunate to enjoy support from many sectors of our area, yet few partners have been as steadfast and dedicated as our friends in organized labor.

United Way works with union leadership and their members to fund initiatives, provide important information and services to members (such as our VITA program, opposite page, and our United Way 2-1-1 service, below), and provide volunteer opportunities for union members to make their communities better places to live, work and play.

Since 2011, United Way of the Greater Capital Region has also provided the Emergency Labor Assistance Program (ELAP). ELAP provides immediate, emergency funding for union members who are dealing with crises, such as home fires, family illness or personal injury. ELAP came about after several years of careful coordination and planning by United Way’s board in conjunction with local labor leadership.

United Way 2-1-1 Northeast Region

United Way 2-1-1, our free telephone information and referral service, has undergone some major changes in the last year. After serving as a critical resource during relief and recovery efforts during and after Hurricane Irene and Tropical Storm Lee, United Way of the Greater Capital Region joined with United Way of Westchester and Putnam Counties to create a shared call center. Benefits of the shared call center include:

- Reduced operating costs for all United Ways
- Expanded hours (from 9:00 a.m. - 5:00 p.m., Monday - Friday, to 9:00 a.m. - 7:00 p.m., seven days a week)
- Expanded language capacity (from English-only to more than 100 languages)

United Way 2-1-1 continues to serve an invaluable role for people in need. Our 2-1-1 telephone information and referral specialists serve approximately 1,000 callers a month from the greater Capital Region on issues including legal concerns, housing and utility payment assistance, health care, income support and mental health issues.
**FINANCIAL STABILITY**

United Way understands that financial stability means more than just a paycheck. Real financial stability means earning a living wage, understanding your current financial situation and planning for your financial future.

That’s why United Way of the Greater Capital Region coordinates the CA$H Coalition. CA$H (Creating Assets, Savings and Hope) works through nonprofit organizations in Albany, Rensselaer, Saratoga, Schenectady and Schoharie counties to help individuals and families break the cycle of poverty and achieve financial stability.

A key component of the CA$H Coalition’s work is the Volunteer Income Tax Assistance (VITA) program. At more than 50 sites in their five counties, IRS-certified volunteer tax preparers work with economically-challenged individuals and families to prepare and file their New York State and federal taxes—completely free of charge!

In April 2012, the CA$H Coalition was honored with a “Good News” Award from the Schenectady County Chamber of Commerce in recognition of their work on behalf of the residents of Schenectady County.

**VITA PROGRAM 2012 FAST FACTS:**

- More than $17 million in tax credits and savings returned to hardworking local individuals and families.

- VITA volunteers prepared and filed 13,366 tax returns at 51 sites throughout their five-county region.

- The VITA Program was powered by 236 volunteers logging 8,673 hours.

- In 2012, more than 75% of local VITA sites experienced growth in their numbers of clients served.

**A STORY OF IMPACT**

“Rhonda,” a local taxpayer, came to an Albany Volunteer Income Tax Assistance (VITA) site crying because she had not filed taxes since 2008. The IRS had given her until April 17, 2012, to file her missing returns and pay any back taxes.

Thanks to the help of the CA$H Coalition and skilled VITA volunteers, Rhonda got the help she needed.

In one afternoon, Rhonda and a VITA volunteer were able to complete 2010 and 2011 taxes. Rhonda was also referred to another site so she could file her 2008 and 2009 taxes later that week.

After completing her 2010 and 2011 tax returns, Rhonda saw that she was going to receive a refund big enough to pay her 2010 taxes and get a refund for 2011. She stopped crying and left quite happy.
UNITED IN ACTION

When we work together, we can achieve far more than any of us can alone. **That’s why United Way coordinates United in Action, a volunteer-powered program in which local people come together to accomplish major volunteer projects around the Greater Capital Region.**

In 2012, our main United in Action projects took place during June 21-23. On these days, volunteers all over the United States joined in projects in honor of United Way Worldwide’s 125th anniversary.

**THANK YOU to our 300+ local United in Action volunteers who worked together to:**

- Help revitalize neighborhoods in Waterford and the Town of Schoharie that were devastated by Hurricane Irene and Tropical Storm Lee (in coordination the Schoharie Area Recovery Coalition, the Waterford Long-Term Recovery Coalition and our friends in organized labor)

- Build a public family fun trail and community gazebo in Cobleskill (with members of Rotary International)

- Beautify Catholic Charities’ Sister Maureen Joyce Center (a congregate meal site) in Albany’s Arbor Hill neighborhood

- Prepare the Rensselaer Boys & Girls Club’s Camp Adventure and Catholic Charities’ Camp Scully for use this summer

- Build raised garden beds so low-income seniors in Saratoga can grow their own vegetables (with Sustainable Saratoga/Cornell Cooperative Extension)

- Clean and paint the gymnasium at the Mechanicville Area Community Services Center

**UNITED IN ACTION VOLUNTEERS SAY...**

“I loved working side by side with co-workers, meeting new people, helping out in the community. **What a great organization United Way is!”**

“Love the sense of community and assisting where help is needed.”

“Keep doing these events. The people volunteering get something out of it as well. Always makes a person feel good knowing that they helped a cause.”

Anonymous responses to an online survey sent to local United in Action volunteers in July 2012.
RESPONDING TO HURRICANE IRENE & TROPICAL STORM LEE

Following last year’s devastating floods in the wake of Hurricane Irene and Tropical Storm Lee, United Way and its community partners stepped up to make sure affected individuals and families received immediate emergency assistance and were connected with agencies to help with long-term recovery.

When floodwaters rose, United Way expanded operating hours for United Way 2-1-1 Northeast Region (thanks in part to volunteers who pitched in at call centers in Los Angeles and San Diego). United Way 2-1-1 information and referral specialists helped callers find safe routes around closed roads, locate shelters and meal sites, and register for FEMA assistance.

Throughout the damage assessment and cleanup, United Way kept in close contact with agencies on the front line of recovery efforts. United Way continues to monitor progress toward full cleanup and restoration of homes and businesses, by coordinating with the Schoharie Area Long Term Disaster Recovery Coalition, the Waterford Area Recovery Coalition and other groups. United Way’s specific flood relief work included:

- **Working with organized labor to collect tools, fiberglass insulation and painting supplies to winterize and repair homes** in Schoharie County’s hardest-hit towns.

- **Joining with the Schenectady County Chamber of Commerce to support relief efforts in Rotterdam Junction and the Stockade neighborhood of Schenectady.** This included funding the purchase of dehumidifiers so displaced residents could return home.

- **Uniting five organizations under the banner of Project Hope to conduct a coordinated food and supply drive across the Capital Region.** Participating partners were Proctors, the City Mission of Schenectady, The Daily Gazette and Hannaford Supermarkets. As a result, **two tractor-trailer truckloads of supplies were distributed to local families.**

- **Partnering with Farm Credit East to provide more than $80,000 in grants to brighten the holidays for farm families** in declared federal disaster areas.

- **Working with United Way of New York State, United Way of the Greater Capital Region awarded $50,000 in grants to help with debris removal in Waterford and Schoharie County,** and support case management to ensure local families and communities benefit from an effective and coordinated long-term response.

- **Engaging volunteers in clean-up, recovery and revitalization efforts in the Waterford and Schoharie areas through the United in Action program** (see preceding page).
United Way has the unique privilege of working closely with some of the Greater Capital Region’s top leaders and influencers. Their support, combined with donations and volunteerism from tens of thousands of individuals, helps United Way meet our goals of advancing the common good and making life better for local people and families.

In April 2012, more than 250 Greater Capital Region residents joined us for our Community Campaign Celebration to celebrate these strong supporters.

LEADERSHIP IN GIVING

The GE Family of Givers received the Leadership in Giving Award for United Way support of more than $1 million.

EXCELLENCE IN GIVING

Golub Corp. and Price Chopper Associates received the Excellence in Giving Award for United Way support of more than $500,000.

PARTNER IN GIVING AWARDS

This category recognizes United Way workplace giving campaigns that raised between $50,000 and $499,999.

Receiving Partner in Giving awards were: Bank of America, Bechtel Marine Propulsion Corp., KeyBank, MVP

PLATINUM AWARD RECIPIENTS

This category recognizes United Way workplace giving campaigns in which each employee donates $200 or more.

Receiving Platinum Awards were: Cargill Inc. - Animal Nutrition, Glens Falls National Bank & Trust, New York State United Teachers (NYSUT), New York State Technology Enterprise Corp. (NYSTEC), Rensselaer County Regional Chamber of Commerce, Troy Savings Bank Charitable Foundation, United Way of New York State and United Way of the Greater Capital Region.
COMMUNITY IMPACT CELEBRATION

We all have a stake in making our communities better. When a child succeeds in school, we all win. When people are able to lift themselves out of poverty, our community becomes stronger.

Each year, United Way celebrates the people and organizations in our community that work to make life better. At our Community Impact Celebration, held each autumn, hundreds of Greater Capital Region residents come together to honor these inspirational community leaders.

We honored the memory of longtime volunteer Robert Ludwig with the LIVE UNITED award.

We thanked WVCR - Siena College Radio for their support of the 2-1-1 information and referral service with our Media Partner Award.

We recognized the efforts of the Business Review in enhancing education and the workforce with our Advocacy Award.

We celebrated the innovative and compassionate work of the University at Albany’s School of Social Welfare with our Community Impact Award.

Tark’s Indoor Golf Club, Maureen Ginter, and Gerry Magoolaghan for their leadership and coordination efforts on our first-ever Indoor Golf Tournament on April 1, 2012.

Deadmans Productions, LLC, a Troy-based game developer, for donating the proceeds of their Drizzle Drop game app to our Hurricane Irene and Tropical Storm Lee relief efforts.

Sage Bistro for donating 10% of diners’ checks on Monday and Tuesday nights to United Way.

Colt Recycling for supporting United Way and going green by donating proceeds from select electronics recycling drives to United Way (including our own drive in January; we collected 18 skids worth of recyclable material).

UNITED IN ACTION SPONSORS

United in Action is a volunteer-powered program in which people come together on large-scale volunteering projects throughout the Greater Capital Region. Our 2012 United in Action projects would not have been possible without the generous support of the following sponsors. Thanks for helping our community LIVE UNITED!

UNITED WAY'S YOUNG LEADERS GROUP IS PART OF A COORDINATED EFFORT TO ENGAGE DONORS AND SUPPORTERS UNDER THE AGE OF 40 THROUGH VOLUNTEERISM AND PROFESSIONAL NETWORKING AND LEARNING OPPORTUNITIES. GUIDED BY THEIR VOLUNTEER ADVISORY BOARD, YOUNG LEADERS:

- Learn about the issues and roadblocks that affect our communities
- Understand the positive and impactful work being done by United Way
- Hone their professional skills in a social business setting
- Expand their professional circles by meeting other young leaders
- Further their professional and personal development through community leadership
- Experience the gratification and fulfillment of being an active, educated community volunteer

This past year, Young Leaders engaged in activities including helping with the Mechanicville Area Community Services Center’s annual school supply drive, giving their time on United in Action projects and “singing” in the Saratoga Chamber of Commerce’s Lip Dub video!

Women’s Fund of the Capital Region

In 2006, United Way of Northeastern New York, United Way of Schenectady and the Community Foundation for the Greater Capital Region joined forces to create the Women’s Fund of the Capital Region. We understand that by investing and working together, we can make a difference in the lives of women and girls in our region.

In the fall of 2010, in a continued effort to engender the greatest impact for women in our community, and with the belief that education is the key to unlock opportunities for women to attain financial stability and independence, the Women’s Fund committed to a new 3-5 year, sharply focused education initiative, to help motivated but struggling adults achieve their degree goals. The work of the Women’s Fund includes:

- Providing two scholarships per semester to nontraditional female students at Hudson Valley Community College and Schenectady County Community College (our scholarship at SCCC is currently the largest scholarship offered!)
- Honoring innovative, inspiring women in our community at the annual Trailblazers luncheon
- Supporting female students through the Women’s Fund Mentorship Initiative, scheduled to begin programs in late autumn 2012
2012-2013 State and Federated Campaigns

State Employees Federated Appeal (SEFA) and the Combined Federal Campaign (CFC) Raised $2,212,852

State Agencies Participating in the 2011 SEFA Campaign Raised $1,884,719

Brookwood Secure Facility
Capital District DDISO
Capital District Psychiatric Center
Coxsackie Correctional Facility
Developmental Disabilities Planning Council
Empire State Development
Executive Chamber
Fourth Judicial District
Governor's Office of Employee Relations
Great Meadow Correctional Facility
Greene Correctional Facility
Hale Creek ASACTC
Hudson Correctional Facility
Lake George Park Commission
Mt. McGregor Correctional Facility
NYS Assembly
NYS Board of Elections
NYS Board of Law Examiners
NYS Commission of Correction
NYS Commission on Judicial Conduct
NYS Commission on Quality of Care & Advocacy for Persons with Disabilities
NYS Court of Appeals
NYS Court of Claims
NYS Department of Agriculture and Markets
NYS Department of Civil Service
NYS Department of Corrections and Community Supervision
NYS Department of Education
NYS Department of Environmental Conservation
NYS Department of Financial Services
NYS Department of Health
NYS Department of Labor

NYS Department of Law-Attorney General
NYS Department of Motor Vehicles
NYS Department of Public Service
NYS Department of State
NYS Department of Taxation and Finance
NYS Department of Transportation
NYS Division of Alcoholic Beverage Control
NYS Division of Criminal Justice Services
NYS Division of Homeland Security and Emergency Services
NYS Division of the Lottery
NYS Division of Military and Naval Affairs
NYS Division of State Police
NYS Division of Tax Appeals
NYS Division of the Budget
NYS Division of Veterans' Affairs
NYS Dormitory Authority
NYS Energy Research & Development Authority
NYS Environmental Facilities Corporation
NYS Higher Education Services Corporation
NYS Homes and Community Renewal
NYS Insurance Fund
NYS Joint Commission on Public Ethics
NYS Law Reporting Bureau
NYS Office for the Aging
NYS Office for People with Developmental Disabilities
NYS Office for the Prevention of Domestic Violence
NYS Office of Alcoholism and Substance Abuse Services
NYS Office of Children and Family Services
NYS Office of Court Administration
NYS Office of General Services
NYS Office of Information Technology Services
NYS Office of Mental Health
NYS Office of Parks, Recreation & Historic Preservation
NYS Office of Temporary and Disability Assistance
NYS Office of the Inspector General
NYS Office of the Medicaid Inspector General
NYS Office of the State Comptroller
NYS Office of Victim Services
NYS Power Authority
NYS Racing and Wagering Board
NYS Senate
NYS Statewide Financial System
NYS Supreme Court Appellate Division
NYS Teachers’ Retirement System
NYS Thruway Authority Headquarters and Albany Division
NYS Worker’s Compensation Board
Public Employment Relations Board
State University Construction Fund
SUNY Empire State College
SUNY Cobleskill
SUNY System Administration
Taconic DDISO
Third Judicial District
University at Albany
Washington Correctional Facility

Federal Agencies Participating in the 2011 CFC Campaign Raised $328,133

Air National Guard
Defense Contract Management
Defense Logistics Agency
Department of Housing and Urban Development
DHS – Customs and Border Protection
DHS – Secret Service
DHS – U.S. Citizenship & Immigration Service
Division of Military and Naval Affairs
Drug Enforcement Administration
FAA Airways Facilities Sector Field Office
FAA Department of Transportation
FAA Flight Standards District Office
Federal Bureau of Investigation
Federal Highway Administration
Federal Motor Carrier Safety Administration
Food and Drug Administration
General Service Administration
Internal Revenue Service
Martin Van Buren National Historic Site
Military Entrance Process Station
National Credit Union Administration
National Weather Service
Naval Health Care
Naval Reserve Center

Naval Support Unit
Occupational Safety & Health Administration
Office of Inspector General-Office of Audit Services
Samuel S. Stratton VA Medical Center
Saratoga National Historical Park
Schenectady Naval Reactors Office
Social Security Administration-Albany
Social Security Administration – Disability Adjudication and Review
Social Security Administration-Gloversville
Social Security Administration-Hudson
Social Security Administration-Queensbury
Social Security Administration-Schenectady
Social Security Administration-Troy
Social Security Area Director III
U.S. Army Corps of Engineers
U.S. Army Recruiting
U.S. Army Corps of Engineers
U.S. Attorney's Office
U.S. Bankruptcy Court
U.S. Department of Energy - Naval
U.S. Department of Labor
U.S. District Clerk's Office
U.S. Geological Survey
U.S. Marshals Service
U.S. Navy Nuclear Power Training Unit
U.S. Navy ROTC Unit
U.S. Probation Unit
U.S. Postal Service-Albany County
U.S. Postal Service-Columbia/Greene Counties
U.S. Postal Service-Warren/Washington Counties
U.S. Postal Service-Fulton/Montgomery Counties
U.S. Postal Service-Saratoga County
U.S. Postal Service-Schenectady/Schoharie Counties
U.S. Postal Service-Rensselaer County
U.S. Probation Office
U.S. Transportation Security Administration
USDA Animal Plant Health Inspections Service
USDA Food Safety & Inspection Service
USDA Market Administrator
USDA National Agriculture Statistics Service
USDA Natural Resources Conservation Service
Watervliet Arsenal
United Way of the Greater Capital Region extends our sincere gratitude to the individuals and groups listed below for their 2011-2012 leadership.

**2011-2012 Leadership Givers**

Micaela Bulich  
Mr. Ronald Bunker  
Ms. Mary E. Burgess  
Ms. Audra Burke  
William S. Burt, M.D.  
Russell W. and Susan E. Burns  
Tom and Jenet Cambron  
Mr. Andrew M. Carey  
Karen and Charles Carletta  
Mr. Michael P. Carroll  
Mr. Michael T. Carroll  
Mr. William Michael Carroll  
Mr. Mark I. Cartwright  
Mr. F. Lee Castleman  
Chief Mark R. Chaires  
Mr. Walter Chandler  
Mr. Tony Chau  
Mr. Arvind K. Chaudhary  
Mr. Theodore W. Chmielewski  
Ms. Doris W. Chow  
Mr. Theodore Chimes III  
Adam Christophe  
Mr. Mark Ciesla  
Ms. Carol L. Cilis  
Mr. and Mrs. Joseph G. Clark  
Mr. Michael Clark  
Stephen M. Cleary Esq.  
Mr. James P. Clevenstine  
Stephen M. Cleary Esq.  
Ms. Jennifer Cloherty  
Mr. Robert Alan Colangelo  
Ms. Elizabeth Collins  
Mr. Joseph Coluccio  
Mr. Arthur W. Coolidge Jr.  
Robert and Mary Coolidge  
Ms. Sharon A. Cooper  
Mark Corey, Earthtech  
Mr. Steven L. Corey  
Mr. Gregory Corman  
Bartley J. Costello III  
Mr. and Mrs. James Coward  
Mr. John Curley  
Mr. Robert M. Curley  
Jack and Kathleen Curtin and Family  
Dr. Barbara Mary Cutler  
Dr. Barbara Mary Cutler  
Mr. David B. Cutler  
Mr. Matthew S. Cutler and Sharon Cutler  
Rev. Joseph D'Agostino  
Mr. Mark E. Dailey  
Mr. Charles R. Daily  
Mr. Robert M. Dalton  
Mr. Stephen W. D'Amico  
Lisa and Charlie Daniels  
K.B. Roarty-Dansfield, KAPL Inc.  
Mr. Robert D'Aquila  
Mr. Robert A. Davidson  
Mr. Michael Davidson  
Mr. Richard Davidson Jr.  
Mr. Thomas Davidson  
Ms. Anne M. Davis  
Mr. Douglas Davis  
Mr. Douglas A. Davis  
Mr. L. Berkley Davis  
Mr. Richard Dawson  
Ms. Elsa de Beer  
Mr. Michael K. Deely  
Ms. Patricia DeFerro  
Rev. L. Edward Deimeke  
Mr. David J. DeLuca  
Mr. Isaac D. Deluca  
Mr. Randall E. Demler  
Craig Dempsey  
Mr. Richard J. Dewey  
Ms. Melynda L. Dewitt  
Stephen Diana  
Mr. James Diefendorf  
Mr. James T. Dillon  
Mr. Douglas Dinon  
Mr. Robert R. Dodge  
Mr. F. Michael Donovan  
Matthew and Katharine Doran  
Mr. Robert Doyle  
Mr. James H. Dunbar  
Mr. James Dunn  
Mr. James J. Durso  
Mr. Kevin J. Duscha  
Ms. Christine Dutch  
Janey B. Dygert  
Mr. George A. Earle III  
Mr. John R. Ellis M.S. E.D.  
Mr. Matthew J. Elmer  
Mr. John J. Endres Jr.  
Mr. Kelly B. Evanoff  
Mr. Michael Fagan  
Mr. Tony Farah  
Ms. Rosalie S. Farina  
Mr. Robert Faulisi  
Mr. Thomas P. Feist  
Karen and Emmet Finnerty  
Mr. James J. Fischer  
Mr. David S. Fisher  
Mr. William J. Fisherty  
Ms. Ellen R. Fogarty  
Mr. Robert P. Fontaine  
Mr. and Mrs. Clarence R. Fosdick  
Percy Waller Foundation  
Mr. Michael Francken  
Timothy A French  
Ms. Kay Friend  
Mr. Mark T. Frost  
Ms. Susan W. Fuller  
Mr. James M. Fullman  
Hershey Family Fund  
Mr. Angel E. Garcia  
Andrew Garland  
Todd and Lynn Garrells  
Mr. James P. Gaspo  
Diana and Joseph Gaug  
Mr. David P. Gaydosh  
Karen and Lester Gerhardt  
Mr. Edward C. Gerner  
Mr. Edward J. Giblin  
Annie Gifford  
Paul Gillis  
Mr. Edward M. Glenning  
Mr. Michael C. Glover  
Mr. David Golub  
Neil-Jane-William-Estelle Golub  
Family Foundation, Inc.  
Mr. Jerel Golub  
Mr. Rich Gonzales  
Ms. Jeannette Gordon  
Mr. Michael A. Gosden  
Mr. Michael Gradoia  
Ms. Catherine Graichen  
Mr. James F. Grant  
Ms. Gladys Graubard Revocable Trust  
Mr. Anthony Greene  
Mr. David J. Greer  
Mrs. Virginia C. Gregg  
Susan and Thomas Hager  
Dr. Prabhat Hajela  
Mr. Ernest L. Hall  
Mr. Richard H. Hall  
John and Jean Halme  
Ms. Theresa C. Haman  
Mrs. Barbara M. Hamlin  
Mr. Kristofor Hanichett  
Michele and Michael Hannah  
Mr. Richard L. Hansen  
Mr. Hans D. Harbers  
Mr. Kevin G. Harding  
Mr. Lawson P. Harris  
Mr. Thomas G. Harrison  
Mr. Brian Hassett  
Mr. Dwight H. Havens  
Mr. William Hawkins  
Mr. Thomas Hayden  
Mr. James Healy  
Mr. Thomas D. Healy  
Ryane E. Heckathorn - KAPL, Inc.  
Mr. James A. Heckman  
Mr. Christopher N. Henchey  
Mr. Wayne S. Hermanson  
Ms. Liz Hitt  
Thomas Y. and Dorothy Hobart, Jr.  
Mr. Donald Hofmann  
Mr. & Mrs. Daniel J. Hogarty Jr.  
Dr. Lynda M. Hohmann  
Nancy O'Donnell  
Mr. David E. Howe  
Dr. Gregory N. Hughes  
Mr. John Hume III  
Carol A. Hyde, Esq.  
Mr. Richard C. Iannuzzi  
Mr. Michael Idecnik  
Mr. Russell Irving  
Mr. Patrick Jansen  
Mr. David B. Japikse  
Mr. Tim Jervis  
Mr. Martin H. Johnson  
Ms. Mary Ann Johnson  
Ms. Susan W. Joslin  
Ms. Janet S. Joyce  
Mr. Charles W. Judge  
Mr. Michael Kagen  
Mr. Ernest H. Kahn  
Ms. Linda G. Katzman  
Mr. James E. Kavanaugh  
Mr. John P. Kearney  
Mr. Craig A. Keef  
Ms. Sara B. Keegan  
Ms. Katherine M. Kelliher  
Mrs. Bonnie L. Keller  
Michael J Kelly  
Mr. Timothy J. Kelly SPHR  
Mr. Kevin J. Keeler  
Ms. Michelle A. King  
Mr. Daniel J. Kinley  
Mr. Kenneth M. Klapp
2012-2013 Community Care Fund Investments

The impact of donations to United Way’s Community Care Fund are multiplied by the power of volunteers and by United Way’s commitment to tracking results and funding programs that make a measurable difference for people and families in the Greater Capital Region. Funded agencies for 2012-2013 are listed below. Please note: many agencies offer programs that benefit residents of multiple counties, not just in their county of operations. Funded programs are listed below agency headings.

ALBANY COUNTY
Albany Community Action Partnership
- Education IDA
Albany Damien Center
- AIDS Community Center
- Smart Meals
Arbor Park Child Care Center, Inc.
- Child Care
- Universal Pre-Kindergarten
Big Brothers/Big Sisters of the Capital Region
- Big Brothers Big Sisters READS
- Strengthening Children and Families
Boys & Girls Club of Albany
- School Age Enrichment
Capital District Child Care Coordinating Council
- Building Bridges Program
Caregivers Support Services of Catholic Charities
- Elder Caregiving
- Kinship Caregiving
CARES
- Ten Year Plan to End Homelessness
Catholic Charities of the Diocese of Albany
- Albany County CA$H Chapter
- Arbor Day Program
- Community Network and Outreach Services
- Rensselaer Case Work
- Youth Development & Enrichment Service
Catholic Charities of the Diocese of Albany Housing Office
- Capital District Empowerment Program
Cohoes Community Center, Inc.
- Child Development
Cohoes Multi-Service Senior Center
- Meals on Wheels
Community Maternity Services
- Early Child Development Services
Equinox, Inc.
- Domestic Violence Outreach
- Equinox Transitional Living Program
- House for Youth
- Youth Outreach Center
Food Pantries of the Capital District
- Food pantries
Homeless and Travelers Aid Society of the Capital District, Inc. (HATAS)
- 24 Hour Homeless Emergency Services
Hospitality House Therapeutic Community, Inc.
- Lifeworks with Networks
Interfaith Partnership for the Homeless, Albany
- Emergency Shelter
Jewish Family Services of NENY
- Neighborhood Naturally-Occurring Retirement Community - Aging in Place in Albany (NNORC)
Literacy New York Greater Capital Region
- Literacy Education and Parent Engagement (LEAPE)
Parsons Child and Family Center
- Child and Adolescent Mobile Team

ALBANY COUNTY continued
Senior Services of Albany
- Caregiver Connection
- Meals on Wheels
Trinity Alliance
- Arbor Hill Community Center After-School and Summer Violence Prevention Program
- Arbor Hill Community Center Neighborhood and Family Resource Center
- Arrest Diversion
- After School/Evening Youth Violence Prevention
- Emergency Food Pantry
- Jail Transitional Services
- Family & Neighborhood Resource Center
United Way of the Greater Capital Region
The following are United Way-led initiatives
- 2-1-1 Telephone Information & Referral Program
- Bright Starts for Brilliant Futures Early Grade Reading Initiative
- Emergency Labor Assistance Program
U.S. Committee for Refugees and Immigrants
- Refugee Job Development

RENSSSELAER COUNTY
Capital District Community Gardens
- Community Gardening
- Veggie Mobile
Circles of Mercy
- Rensselaer CA$H Chapter
Joseph’s House and Shelter, Inc.
- Advocacy and Resettlement Services
- Emergency Shelter
Literacy Volunteers of Rensselaer County
- Financial Literacy and Math Program
- Literacy Volunteers Consortium
- Read with Me
- Telephone Conversation Partners
Samaritan Hospital / Sexual Assault Care
- Crime Victim Support Team
Troy Area United Ministries
- The Furniture Program
Unity House of Troy, Inc.
- Domestic Violence Services
- Family Resource Center
- Poverty Services
- Unity Sunshine Program at YWCA

SCHENECTADY COUNTY
A Different Way in Reading
- A Different Way in Reading
Bethesda House of Schenectady, Inc.
- Community Food and Nutrition
- Bridge Builder
Catholic Charities Senior Services in Schenectady
- Aging Services
- Dayhaven
Center for Community Justice
- CASA of the Capital Region
- Community Justice Youth Services
Family and Child Service of Schenectady
- Homemaker Program
Girls Incorporated
- Kids’ Corner Literacy Program
Northeast Parent & Child Society
- Northeast Parent Education and Consultation
Safe House, Inc. of Schenectady
- Safe House
Samaritan Counseling Center of the Capital Region, Inc.
- Project Pass of the Capital Region
Schenectady Community Action Program
- Community Crisis Network
- Family Resource Center
YWCA of Northeastern New York
- Domestic Violence Program
- Housing Program
- Schenectady CA$H Chapter

SARATOGA COUNTY continued
Greater Adirondack Home Aides, Inc.
- Home Health Care Services
Mechanicville Area Community Services Center, Inc.
- CARE
- Domestic Violence Advocacy Program
- Elder Care Services Program
- Family Resource Center
- Mechanicville Youth Development Program
- Saratoga CA$H Chapter
- Whistle Stop Learning Center
Saratoga Center for the Family
- Counseling / Treatment Program
Shelters of Saratoga
- Saratoga Homeless Alliance

SARATOGA COUNTY
Saratoga Center for the Family
- Counseling / Treatment Program
Schoharie County Community Action Program
- GED Preparatory Instruction Program
Samaritan Counseling Center of the Capital Region, Inc.
- Domestic Violence Program
- Family Resource Center
- Poverty Services
- Family Resource Center
- Domestic Violence Advocacy Program
- Domestic Violence and Services to Children
- Family Resource Center
- Schoharie CA$H Chapter
Schoharie River Center
- Environmental Study Program

# Financial Statements

**United Way of the Greater Capital Region, Inc.**  

## Assets

<table>
<thead>
<tr>
<th></th>
<th>6/30/12 UNAUDITED</th>
<th>6/30/11 AUDITED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash &amp; Cash Equivalents</td>
<td>$1,598,330</td>
<td>$1,858,606</td>
</tr>
<tr>
<td>Investments</td>
<td>$2,938,767</td>
<td>$3,011,801</td>
</tr>
<tr>
<td>Pledges Receivable</td>
<td>$2,787,027</td>
<td>$3,032,300</td>
</tr>
<tr>
<td>Other Receivables</td>
<td>$110,807</td>
<td>$147,029</td>
</tr>
<tr>
<td>Prepaid Expenses</td>
<td>$20,484</td>
<td>$36,275</td>
</tr>
<tr>
<td>Property &amp; Equipment Net</td>
<td>$429,183</td>
<td>$470,329</td>
</tr>
<tr>
<td>Beneficial Interest in Perpetual Trust</td>
<td>$444,743</td>
<td>$475,623</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
<td><strong>$8,329,341</strong></td>
<td><strong>$9,031,963</strong></td>
</tr>
</tbody>
</table>

## Liabilities

<table>
<thead>
<tr>
<th></th>
<th>6/30/12 UNAUDITED</th>
<th>6/30/11 AUDITED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable and Accrued Expenses</td>
<td>$130,890</td>
<td>$133,100</td>
</tr>
<tr>
<td>Grants Payable</td>
<td>$1,605,792</td>
<td>$1,589,296</td>
</tr>
<tr>
<td>Designations Payable</td>
<td>$2,250,197</td>
<td>$2,435,165</td>
</tr>
<tr>
<td>Note Payable</td>
<td>$65,737</td>
<td>$127,424</td>
</tr>
<tr>
<td>Accrued Pension Cost</td>
<td>$324,836</td>
<td>$337,575</td>
</tr>
<tr>
<td><strong>Total Liabilities</strong></td>
<td><strong>$4,377,452</strong></td>
<td><strong>$4,622,560</strong></td>
</tr>
</tbody>
</table>

## Net Assets

<table>
<thead>
<tr>
<th></th>
<th>6/30/12 UNAUDITED</th>
<th>6/30/11 AUDITED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Assets: Restricted</td>
<td>$3,371,556</td>
<td>$3,798,190</td>
</tr>
<tr>
<td>Net Assets: Temporarily Restricted</td>
<td>$85,590</td>
<td>$25,590</td>
</tr>
<tr>
<td>Net Assets: Permanently Restricted</td>
<td>$494,743</td>
<td>$585,623</td>
</tr>
<tr>
<td><strong>Total Net Assets</strong></td>
<td><strong>$3,951,889</strong></td>
<td><strong>$4,409,403</strong></td>
</tr>
</tbody>
</table>

## Total Liabilities and Net Assets

<table>
<thead>
<tr>
<th></th>
<th>6/30/12 UNAUDITED</th>
<th>6/30/11 AUDITED</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total Liabilities and Net Assets</strong></td>
<td><strong>$8,329,341</strong></td>
<td><strong>$9,031,963</strong></td>
</tr>
</tbody>
</table>

### United Way Expenses

- Community Impact Activities
- Donor Designations to Agencies
- Management and General
- Fundraising

### United Way Revenue

- Grants
- Employee Pledges
- Corporate Gifts
- Special Fundraisers
- Individuals (Outside Workplace)
- **Total Revenue**