United Way of the Greater Capital Region
2016 Community Impact Report

LIVE UNITED

United Way of the Greater Capital Region
2016 Community Impact Report
OUR MISSION
To improve lives and advance the common good in the Capital Region by mobilizing the caring power of donors, volunteers and community partners to give, volunteer and advocate for people in need within our region.

OUR VISION
United Way of the Capital Region will be a recognized leader in the development and investment of philanthropic and volunteer resources in programs and initiatives that improve the quality of life for children, families, elders and people in need.

2015-2016 BOARD OF DIRECTORS

OFFICERS

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Albany County Sheriff’s Dept.

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Albany City Court

Theresa Hill
KeyBank

Jamaal M. James
TMG Global Holdings

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Enterprise Holdings

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Capital District Transportation Authority

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Mary Cullinan
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The Law Firm of Alex Dell, PLLC

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Saratoga National Bank and Trust Co.

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Teal, Becker & Chiaramonte

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Condominiums

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NBT Bank

Eric LaCoppola
Environment One Corporation

Brandi Miller
Capital Region Chamber of Commerce

Steady Moono
Schenectady County Community College

Cliff Wohl
iHeart Media

UNITED WAY STAFF

Brian T. Hassett
President and Chief Executive Officer

Shane Albertin
Director of Information Technology

Laura Alpert
Sr. Vice President of Resource Development

Lisa Audi
Sr. Vice President of Marketing & Communications

Meredith Chimento
Sr. Vice President of Community Impact

Liz Cifarelli
Office & Human Resources Administrator

Laurelee Dever
Statewide SEFA Director

Abbie Farrar
Accounting Manager

Marion Froewin
Accounting Manager

Peg Kane
Office Coordinator

Daniel Kilmartin
Chief Financial Officer/Chief Operating Officer

Dian Lin
Accounts Receivable Associate

James Mulligan
Vice President of Resource Development

Marlene Owens
Special Events Coordinator

Andi Pratt
Director of Community Investment

Tracy Randle
Program Director, CAS$H Coalition

Lisa Steagall-Thurman
Capital Region SEFA Administrator

Melissa Stephens
Donor Relations Manager/Federated Campaigns

Jessica Underhill
Director of Community Impact

Bennett VanWert
Donor Relations Manager
DEAR FRIENDS

Today, each individual has an extraordinary power to affect change for themselves, their families and their communities. United Way of the Greater Capital Region is harnessing their energy and helping them turn their aspirations into reality. In the past year, we continued to build on our successes and work with partners to impact the lives of more people throughout our community. From making sure people meet their basic needs for food, housing and safety, to establishing a foundation for success for children, to equipping people with the knowledge and skills they need to get on more stable financial ground, to facilitating better health for our residents, United Way of the Greater Capital Region is there.

Our Community Care Fund continues to provide support to nonprofit organizations engaged in essential work, emergency response to local crises, seed money for new endeavors, and long-term community impact. The United Way of the Greater Capital Region is providing locally tailored and locally driven solutions to create a healthy, thriving community. Results of our commitment to the long term health of the region:

• United Against Hunger’s Summer Meals demonstrated a 290% increase in meals served to Capital Region children since United Way funding began;

• The CA$H Coalition of the Greater Capital Region has increased the number of tax returns filed between tax year 2008 and 2015 by 45% as a result of our guidance and support as well as through strategic partnerships;

• United Way 2-1-1 Northeast Region has thrived with a 37% increase in call volume;

• Family and Neighborhood Resource Centers have increased the number of individuals and families in crisis served by 28% and assisted 56% in long-term resilience

These successes—and the many other great results achieved in 2015-16—are a testament to what we can accomplish when individuals, government, businesses, academia, health agencies, faith-based groups and nonprofits join together in common cause. United Way is proud to facilitate funding, expertise and programmatic solutions to the issues that matter most, to help the most people.

We thank you, our donors, corporate partners, funded partners and volunteers for your dedication to LIVEUNITED. Behind all of the statistics are real people whose lives are forever changed for the better, because of you. We are grateful stewards of your generosity. UNITED, we can change lives!

Brian T. Hassett
President and CEO

Patrick Lyons
Chair, Board of Directors
2015-2016 Community Care Fund Investments

The impact of contributions to United Way’s Community Care Fund is multiplied by United Way’s commitment to track results and fund programs that make a measurable difference for individuals, families and our community. United Way’s funded partners for the fiscal year ending June 30, 2016 are listed below. Several agencies provide programs that fall under more than one of our four investment platforms. For our latest list of funded partners and programs, go to www.unitedwaygcr.org.

**Basic Needs**
- 2-1-1 Northeastern New York
- Albany Damien Center
- Alliance for Positive Health
- Bethesda House of Schenectady
- Capital Roots
- CAPTAIN Youth and Family Services
- Catholic Charities of Delaware, Otsego & Schoharie Counties
- Catholic Charities Tri-County Services
- Equinox
- Food Pantries for the Capital District
- Interfaith Partnership for the Homeless
- Joseph’s House and Shelter
- Legal Aid Society of Northeastern NY
- Mechanicville Area Community Services Center
- Northeast Health Foundation
- Northern Rivers Family Services
- Regional Food Bank of Northeastern NY
- Safe, Inc.
- Schenectady Community Action Program
- Schenectady Inner City Ministry
- Schoharie County Community Action Program
- SUNY Cobleskill / Schoharie Fresh
- The Center for Community Justice
- Trinity Alliance of the Capital Region
- Unity House of Troy, Inc.
- US Committee for Refugees and Immigrants (USCRI)
- Wellspring
- Wildwood Programs
- YWCA NorthEastern New York
- YWCA of the Greater Capital Region

**Education**
- Arbor Park Child Care Center
- Baby Institute
- Big Brothers Big Sisters of the Capital Region
- Bigelow Corner’s Partnership
- Boys & Girls Clubs of Albany
- Capital District Child Care Council (CDCCC)
- CAPTAIN Youth and Family Services
- Catholic Charities of Saratoga, Warren & Washington
- Catholic Charities Tri-County Services
- Community Maternity Services
- Equinox
- Health Schenectady Families (Cornell Cooperative Extension)
- Healthy Families Rensselaer (St. Peter’s Health Partners)
- Literacy Volunteers of Rensselaer County
- Northern Rivers Family Services
- Safe, Inc.
- Schoharie County Community Action Program
- Schoharie River Center
- The Albany Promise
- Troy Area United Ministries
- Watervliet Civic Chest

**Health**
- Addictions Care Center of Albany
- CAPTAIN Youth and Family Services
- Catholic Charities Senior and Caregiver Services
- Family & Child Service of Schenectady
- Greater Adirondack Home Aides
- Hospitality House
- Jewish Family Services of NENY
- Northern Rivers Family Services
- Rensselaer Housing Resources
- Senior Services of Albany
- Wildwood Programs

**Income**
- CAPTAIN Youth and Family Services
- Catholic Charities Tri-County Services
- Circles of Mercy
- Commission on Economic Opportunity
- Literacy New York–Fulton, Montgomery, Schoharie Counties
- Literacy Volunteers of Rensselaer County
- Mechanicville Area Community Services Center
- Schoharie County Community Action Program
- The Center for Community Justice

Trinity Alliance of the Capital Region
US Committee on Refugees and Immigrants (USCRI)
Wellspring
Wildwood Programs
YWCA Northeastern New York
YWCA of the Greater Capital Region
Family & Neighborhood Resource Centers

United Way Family and Neighborhood Resource Centers (FNRC) provide one-stop, coordinated services to individuals and families at five locations across the Capital Region – Mechanicville Area Community Services Center, Schenectady Area Community Action Program, Schoharie County Community Action Program, Trinity Alliance and Unity House.

THE GOALS OF THE FNRC PROGRAM ARE:
• To provide basic needs and short-term assistance in times of crisis;
• To provide long-term solutions to the strains placed on local individuals and families;
• To serve as a local leader in ensuring communities are safe, stable and interconnected so that individuals and families thrive.

The centers provide individuals and families access to a network of coordinated services close to home. Each FNRC is a partnership of health and social service providers, residents, schools, businesses and faith-based organizations, all working together to build on the strengths and address the needs of neighborhood residents and the neighborhood as a whole.

FAMILY & NEIGHBORHOOD RESOURCE CENTERS CONTINUE TO GROW
• 28% increase in individuals and families in crisis served;
• 56% increase in individuals and families assisted in long-term resilience

“If the Mechanicville Area Community Services Center wasn’t here, my family would still be struggling. It’s been my greatest resource.”

– Autumn
THANK YOU TO OUR TOP UNITED WAY SUPPORTERS.
Your generosity is changing lives and improving our community.

LEADERSHIP IN GIVING
(Support of over $1 million)
GE Family of Givers

INSPIRATIONAL GIVING
($200,000 to $499,999)
Golub Corp. and Price Chopper/Market 32 Teammates

PARTNER IN GIVING ($50,000 to $199,999)
Bank of America
Bechtel Marine Propulsion Corp.
Capital District Transportation Authority
Enterprise Holdings
Hannaford Supermarkets
KeyBank
Momentive Performance Materials, Inc.
MVP Health Care
National Grid
New York Independent System Operator
NYSUT
Rensselaer Polytechnic Institute
SABIC Innovative Plastics
UPS

SPECIAL AWARDS

Campaigns of Distinction
Capital District Transportation Authority
New York Independent System Operator

Campaign Manager of the Year
Nicole Cain, Enterprise Holdings
Ellen Sax, MVP Health Care

Community Impact Award
Hannaford Supermarkets
NYSUT

Industry Leadership Award
Armory Automotive Family
Himna Straub, P.C.
Pioneer Bank
Saratoga Hospital
Schenectady County Government
Scotia-Glenville Central School District
The Bonadio Group

Labor Appreciation
CSEA

Newcomer Award
Capital District Area Labor Federation
Underwriters Laboratories

PLATINUM AWARDS ($200 or more per employee)
Arbor Park Child Care Center, Inc.
Barton & Loguidice, D.P.C.
Berkshire Bank
Electric Boat
HMS Agency, Inc.
Phoenix Life Insurance Company
Target Stores District Office #438
Tri-City Manpower, Inc.
United Way of New York State
United Way of the Greater Capital Region
The Woodward Co.

GOLD AWARDS ($100 - $199 per employee)
3M
5280 Solutions
Aflac New York
Albany International Corp.
Austin & Co., Inc.
The Ayco Company, L.P.
Bank of America
Barclay Damon
Bechtel Plant Machinery, Inc.
Bobrick Washroom Equipment, Inc.
The Bonadio Group
C.H. Guenther & Son, Inc.
Capital Bank
Capital Region Chamber of Commerce
Carbone Subaru
Cargill Feed and Nutrition
Citizens Bank
CSEA
Environment One Corporation
First Niagara Financial Group
GE Family of Givers
Glens Falls National Bank
Himna Straub, P.C.
James D. Warren and Son, Inc.
Milliman
Nationwide
NBT Bank, N.A.
New York Independent System Operator
NYSUT
OMCE
Pearl Carroll & Associates
Pioneer Bank
Principal Financial Group
Rensselaer County Regional Chamber of Commerce
Rose & Kiernan, Inc.
SABIC Innovative Plastics
Saratoga National Bank & Trust Company
Tyler Technologies, Inc.
UPS
Watervliet Civic Chest
Wells Fargo

THANK YOU TO OUR TOP UNITED WAY SUPPORTERS.
Your generosity is changing lives and improving our community.
Our Generous Tocqueville Donors

TOCQUEVILLE SOCIETY

Ms. Lynn Calpeter
Mr. Robert M. Curley
Mr. Robert Doyle
Mr. and Mrs. George and Eileen Earle
Mr. James P. Gaspo
Neil and Jane Golub
Dr. Shirley Ann Jackson and
Dr. Morris Washington
Mr. and Mrs. Morris and Esther Massry
Mr. and Mrs. Norman and Micki Massry
Mr. John J. Nigro
Mr. Brian G. O'Grady
The Sauer Family
Percy Waller Foundation
Ms. Deborah White
Dr. Nancy Zimpher

United Way Tocqueville Society members know that creating positive change in our communities creates hope in the lives of children and families who struggle daily just to meet their basic needs.
2015-2016 Leadership Givers

United Way of the Greater Capital Region extends its sincere gratitude to the individuals and groups listed below for their Leadership giving. Thank you for setting an example for our community through your dedication and support.

Tocqueville Society
(contributions of $10,000 or more)
Ms. Lynn Calpeter
Mr. Robert M. Curley
Mr. Robert Doyle
Mr. and Mrs. George and Eileen Earle
Mr. James P. Gaspo
Neil and Jane Golub
Dr. Shirley Ann Jackson and
Dr. Morris Washington
Mr. and Mrs. Morris and Esther Massey
Mr. and Mrs. Norman and Micki Massey
Mr. John J. Nigro
Mr. Brian G. O’Grady
The Sauer family
Percy Waller Foundation
Ms. Deborah White
Dr. Nancy Zimpher

Red Feather Society
(contributions of $1,000 — $9,999)
Anonymous (10)
Mr. Alan D. Alexander
Mr. Christopher P. Allen
Ms. Laura B. Alpert
Mr. Michael S. Bassett
Mr. Carmino N. Basile
Mr. Robert Basila
Ms. Cindy Barford
Mr. Robert Basila
Ms. Carmino N. Basile
Ms. Kelli Arnold
Mr. Eugene Audi
Ms. Marla Austen
Mr. Brian N. Aviles
Ms. Laurie L. Bangs
Mr. Steven Barnard
Ms. Cindy Barford
Mr. Robert Basila
Ms. Carmino N. Basile
Ms. Kelli Arnold
Mr. Eugene Audi
Ms. Marla Austen
Mr. Brian N. Aviles
Ms. Laurie L. Bangs
Mr. Steven Barnard
Ms. Cindy Barford
Mr. Robert Basila
Ms. Carmino N. Basile
Ms. Kelli Arnold
Mr. Eugene Audi
Ms. Marla Austen
Mr. Brian N. Aviles
Ms. Laurie L. Bangs
Mr. Steven Barnard
Ms. Cindy Barford
Contribution: $1,000 – $9,999
2015-2016 State Employees Federated Appeal (SEFA)

State Agencies Participating in the 2015 SEFA Campaign Raised $1,355,178

- Brookwood Secure Facility
- Capital District DDSO
- Capital District Psychiatric Center
- Coxsackie Correctional Facility
- Developmental Disabilities Planning Council
- Fourth Judicial District
- Governor’s Office of Employee Relations
- Great Meadow Correctional Facility
- Greene Correctional Facility
- Hale Creek ASACTC
- Hudson Correctional Facility
- Lake George Park Commission
- NYS Assembly
- NYS Board of Elections
- NYS Board of Law Examiners
- NYS Commission of Corrections
- NYS Commission on Judicial Conduct
- NYS Court of Appeals
- NYS Court of Claims
- NYS Department of Agriculture and Markets
- NYS Department of Civil Service
- NYS Department of Corrections and Community Supervision
- NYS Department of Economic Development
- NYS Department of Education
- NYS Department of Environmental Conservation
- NYS Department of Financial Services
- NYS Department of Health
- NYS Department of Labor
- NYS Department of Law-Attorney General
- NYS Department of Motor Vehicles
- NYS Department of Public Service
- NYS Department of State
- NYS Department of Taxation and Finance
- NYS Department of Transportation
- NYS Division of Alcoholic Beverage Control
- NYS Division of Criminal Justice Services
- NYS Division of Homeland Security and Emergency Services
- NYS Division of Human Rights
- NYS Division of Military and Naval Affairs
- NYS Division of State Police
- NYS Division of Tax Appeals
- NYS Division of the Budget
- NYS Division of Veterans’ Affairs
- NYS Dormitory Authority
- NYS Energy Research & Development Authority
- NYS Environmental Facilities Corporation
- NYS Executive Chamber
- NYS Gaming Commission
- NYS Higher Education Services Corporation
- NYS Homes and Community Renewal
- NYS Insurance Fund
- NYS Joint Commission on Public Ethics
- NYS Justice Center for the Protection of People with Special Needs
- NYS Law Reporting Bureau
- NYS Office for the Aging
- NYS Office for People with Developmental Disabilities
- NYS Office for the Prevention of Domestic Violence
- NYS Office of Alcoholism and Substance Abuse Services
- NYS Office of Children and Family Services
- NYS Office of Court Administration
- NYS Office of General Services
- NYS Office of Information Technology Services
- NYS Office of Mental Health
- NYS Office of Parks, Recreation & Historic Preservation
- NYS Office of Temporary and Disability Assistance
- NYS Office of the Inspector General
- NYS Office of the Medicaid Inspector General
- NYS Office of the State Comptroller
- NYS Office of Victim Services
- NYS Power Authority
- NYS Public Employment Relations Board
- NYS Senate
- NYS Statewide Financial System
- NYS Supreme Court-Appellate Division, 3rd Department
- NYS Teachers’ Retirement System
- NYS Thruway Authority
- NYS Workers’ Compensation Board
- State University Construction Fund
- SUNY Cobleskill
- SUNY Empire State College
- SUNY System Administration
- Taconic DDSO
- Third Judicial District
- University at Albany
- Washington Correctional Facility

United Way of the Greater Capital Region does not assess our member agencies any dues or fees for their participation in our federation.

Labor and United Way, Partners in Meeting Human Need

In times of tragedy, routine expenses can become a major inconvenience and source of stress. United Way’s Emergency Labor Assistance Program (ELAP) is a partnership between local organized labor unions and United Way of the Greater Capital Region, designed to meet temporary financial needs of community members when emergencies occur.

This year, the United Way and ELAP worked with the Amalgamated Transit Union Local 132, Bricklayers and Allied Craftworkers Local 2, CSEA Tax Local 690, District Council 9 New York IUPAT, Painters and Allied Trades, Local 201, IUE-CWA AFL-CIO Local 301, IUE-CWA Local 81359, and Teamsters in assisting 29 union members faced with emergencies. Together we helped with **BASIC NEEDS** including food security, housing (power disconnects, house fires) and personal safety. We helped with **HEALTH** issues such as medical emergencies for union members and their children ranging from cancer to surgery.

Once again, together, we accomplish more than any one person or agency can do alone. That’s what it means to **#LIVEUNITED.**
# Financial Statements

**United Way of the Greater Capital Region, Inc.**  
*Statement of Financial Position as of June 30, 2016*

<table>
<thead>
<tr>
<th><strong>ASSETS</strong></th>
<th><strong>6/30/16 UNAUDITED</strong></th>
<th><strong>6/30/15 AUDITED</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash &amp; Cash Equivalents</td>
<td>$929,000</td>
<td>$918,000</td>
</tr>
<tr>
<td>Investments</td>
<td>$3,670,000</td>
<td>$3,822,000</td>
</tr>
<tr>
<td>Pledges Receivable</td>
<td>$2,111,000</td>
<td>$2,256,000</td>
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<tr>
<td>Other Receivables</td>
<td>$86,000</td>
<td>$93,000</td>
</tr>
<tr>
<td>Prepaid Expenses</td>
<td>$40,000</td>
<td>$66,000</td>
</tr>
<tr>
<td>Property &amp; Equipment Net</td>
<td>$319,000</td>
<td>$305,000</td>
</tr>
<tr>
<td>Beneficial Interest in Perpetual Trust</td>
<td>$431,000</td>
<td>$460,000</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
<td><strong>$7,586,000</strong></td>
<td><strong>$7,920,000</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>LIABILITIES</strong></th>
<th><strong>6/30/16</strong></th>
<th><strong>6/30/15</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable and Accrued Expenses</td>
<td>$149,000</td>
<td>$155,000</td>
</tr>
<tr>
<td>Grants Payable</td>
<td>$1,436,000</td>
<td>$1,825,000</td>
</tr>
<tr>
<td>Designations Payable</td>
<td>$1,416,000</td>
<td>$1,400,000</td>
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<tr>
<td>Accrued Pension Cost</td>
<td>–</td>
<td>$92,000</td>
</tr>
<tr>
<td>Note Payable</td>
<td>$300,000</td>
<td>–</td>
</tr>
<tr>
<td><strong>Total Liabilities</strong></td>
<td><strong>$3,301,000</strong></td>
<td><strong>$3,472,000</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>NET ASSETS</strong></th>
<th><strong>6/30/16</strong></th>
<th><strong>6/30/15</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Assets: Unrestricted</td>
<td>$3,740,000</td>
<td>$3,869,000</td>
</tr>
<tr>
<td>Net Assets: Temporarily Restricted</td>
<td>$1,000</td>
<td>$6,000</td>
</tr>
<tr>
<td>Net Assets: Permanently Restricted</td>
<td>$544,000</td>
<td>$573,000</td>
</tr>
<tr>
<td><strong>Total Net Assets</strong></td>
<td><strong>$4,285,000</strong></td>
<td><strong>$4,448,000</strong></td>
</tr>
</tbody>
</table>

**Total Liabilities and Net Assets**  

$7,586,000  

$7,920,000

**United Way Expenses**

- Program Services & Community Impact Investments: 47%
- Donor Designations to Agencies: 8%
- Fundraising: 15%
- Management and General: 36%

**United Way Revenue**

- Employee Pledges: 75%
- Individual Gifts (outside the workplace): 4%
- Corporate Gifts: 2%
- Special Fundraisers: 4%

United Way of the Greater Capital Region has met or exceeded the Better Business Bureau’s 20 Standards for Charitable Accountability and is a BBB Accredited Charity. You can review United Way of the Greater Capital Region's Charity Review online at www.BBB.org.